GlobaLink SECURITIES INC. Member FINRA & SIPC

Customer Relationship Summary

Effective June 8. 2023

Introduction

Globalink Securities Inc. ("GSI" or "we") is registered with the Securities and Exchange Commission (SEC) as a broker dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Our subsidiary Globalink Wealth Management, LLC ("GWM") is also an SEC-registered investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at http://www.Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

*You can choose to use our **Self-Directed** online broker service that offers you the ability to buy and sell investment products like stocks, options, bonds, mutual funds, ETFs, and other securities products on a non-discretionary basis. in a brokerage account. GSI acts in a broker-broker capacity and does not make recommendations in specific securities products to you.

Monitoring your account is solely your responsibility as we do not offer account monitoring services. Account minimums apply to open or maintain an account. These account minimums will vary depending on the account type, as well as the type of investment you are purchasing. Any such minimum requirement will be discussed prior to account opening or investment, as the situation arises. Statements will be delivered to you at a minimum on a quarterly basis via mail or electronically to assist you in monitoring your account.

We are an introducing broker-dealer. Your cash and securities will be custodied by our clearing firm Wedbush Securities, Inc. or Interactive Brokers, LLC., which services your account by executing, clearing and settling your transactions; preparing and distributing your account statements and trade confirmations; and extending credit to margin accounts.

*You may also choose our **Professionals** offer brokerage services, investment advisory services, or both, depending on their licenses. Each Professional generally provides access to a range of investment products, such as stocks, options, bonds, exchange-traded funds (ETFs), mutual funds, annuities & insurance, and alternative investments. Please note that the range of investment options available to you may be limited depending on the licenses your Professional holds or if he or she is located at a financial institution that does not offer certain options. Your Professional or account services may also have specific requirements, such as account or investment minimums. We encourage you to ask your Professional whether any investment limitations or account requirements apply. If your Professional offers you both brokerage and advisory services, your Professional will inform you when he or she offers an investment recommendation or advice, and whether the recommendation or advice is part of a brokerage or advisory service. Some of the key differences between brokerage and investment advisory services are described below.

Brokerage Services:

- Brokerage services include taking your orders and executing your securities transactions, making recommendations for you to buy, sell, or hold securities. In most cases, we provide recommendations to you on specific investments, but you make the final investment decisions for your account.
- We don't monitor brokerage account investments for you, unless we state otherwise in writing.
- We may provide brokerage services

Investment Advisory Services:

- We offer a variety of investment advisory services and strategies. When you enroll in one of the advisory services programs, we act as your investment adviser in providing you the services described in that client agreement and brochure. You may pay an asset-based fee or performance-based fee and not on a per trade basis.
- You'll typically grant us discretion to buy and sell investments in your account without asking you in advance. You may limit our discretion, such as by imposing reasonable restrictions on investing in certain securities or groups of securities. In other investment advisory accounts, you grant investment discretion to

(but not investment recommendations) to you if your Professional is providing advisory services through a separate investment advisory firm.

another financial institution.

- Some of our investment advisory accounts are nondiscretionary, which means you are required to preapprove each investment transaction that we recommend.
- We'll typically monitor accounts, and specific investments within accounts, on an ongoing basis to align with your investment goals.

For more information about our firm and our services: Visit our website at www.GlobalinkUSA.com or you can find in the Form ADV for your advisory program.

Questions to ask your financial professional:

- ***Given my financial situation, should I choose a brokerage service? Why or why not?***
- ***How will you choose investments to recommend to me?***
- ***What is your relevant experience, including your licenses, education, and other qualifications?***
- ***What do these qualifications mean?***

What fees will I pay?

Brokerage Services:

- For brokerage services, we charge a transaction-based fee, generally referred to as a "commission", for each investment made on your behalf. The amount you pay as a transaction-based fee varies according to the particular investment product and amount invested. We must act in your best interest and not place our interests ahead of yours when recommending an investment or an investment strategy involving securities. Because we are compensated for transactions, ***we have an incentive to encourage you to trade more frequently*** and in greater amounts.
- For investments in stocks or ETFs, the transaction-based fee is usually charged as a separate commission or sales charge. For investments in bonds, this fee is typically included as part of the price you pay for the investment (called a markup or markdown).
- For investments in certain products like mutual funds, annuities & insurance, and alternative investments, we receive transaction-based fees from the investment product sponsor in the form of asset-based sales charges (e.g., sales loads). These fees are based on the amount invested in a product and, depending on the product, may be based on how long you hold the investment. as well as fees that are charged on an on-going basis for as long as you hold the investment, known as "trails" or "12b-1 fees. Our asset-based sales loads products create an incentive to recommend products or sponsors that include such charges.

Investment Advisory Services:

- For investment advisory services, we typically charge an ongoing quarterly fee (sometimes referred to as an asset-based fee) or an ongoing annual fee (sometimes referred to as performance-based fee). This fee is a percentage of the value of your account or a percentage of the profit of your account. You pay this fee even if you don't buy or sell investments. The more assets you have in an asset-based fee account, the more you'll pay us in fees. This creates an incentive to encourage you to increase the size of your account, including by transferring or rolling over assets from other accounts.
- For some types of accounts, there is a per transaction charge in addition to an asset-based fee. We may also charge an hourly fee or fixed fee for additional services such as financial planning and consulting services that are of limited duration or nature.
- The fee you pay to your Professional is generally negotiated with him or her directly, and subject to different maximums, depending on the advisory services selected.

Other Fees and Costs:

If applicable to your account, we'll charge you directly for other fees in addition to brokerage commissions and advisory fees, including: (1) account maintenance fees such as custody, trade confirmation processing, corporate actions, and transfer fees; (2) cash management fees such as cash sweep, checking, and wire fees; and (3) investment specific fees such as those for administration of alternative investments or for foreign securities. See the Fee Schedules for our brokerage and advisory services at globalinkusa.com for more information. You should understand that these fees are not charged by us if your investment is in an account that is held directly with the sponsor, and not in an Globalink 's investment account.
For additional detailed information, please see www.GlobalinkUSA.com/RegBI or ask for a hard copy.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time.
****Please make sure you understand what fees and costs you are paying. ****

Questions to ask your financial professional:
- ***Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me***

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation as your broker-dealer ar act as your investment advisor, we have to act in your best interest and not place our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you.

Examples of Ways We Make Money and Conflicts of Interest:

· ***Front-end Commission:*** Investment Products, such as mutual funds, are available in different share classes. The share class purchased will determine how much of a front-end commission we will receive, if any. This creates an inherent conflict of interest to recommend the share class paying the highest amount.

· ***Rollovers:*** We will recommend you rollover your employer-sponsored retirement plan into an Individual Retirement Account (IRA), which pays us a commission.

For additional information, please refer to our ***Regulation Best Interest Disclosure and the Form ADV for your advisory program.***

Question to ask your financial professional:
- ***How might your conflicts of interest affect me, and how will you address them?***

How Do Your Financial Professionals Make Money?

Our financial professionals will be compensated through a variety of ways, which will include base salaries, commission and investment advisory fee. The amount of compensation varies by service and product type and the nature of the transaction. e.g. traditional brokerage transactions, annuity, or private placement. The agreement between each Professional and GSI sets out the payments we make to them. Those who provide brokerage services and/or investment advisory services receive a portion of the commissions or advisory fee you pay. At times our financial professionals will receive bonuses based on total revenue produced.

These compensation arrangements will create a conflict of interest, which we will mitigate by:
- Informing you of the conflict of interest in our Disclosure Brochure
- Routine supervisory review of transactions.

Do you or your financial professionals have legal or disciplinary history?

Yes, we and some of our financial professionals have some form of legal or disciplinary history. If you would like more information about our firm and our financial professionals, visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Questions to ask your financial professional:

- ***As a financial professional, do you have any disciplinary history? For what type of conduct?***

Additional Information

For additional information about our services, please refer to our website GlobalinkUSA.com. If you would like additional, up-to-date information, or a copy of this disclosure, please call us at (800) 388-9788.

Questions to ask your financial professional:

- ***Who is my primary contact person?***
- ***Is he or she a representative of an investment advisor or a broker-dealer?***
- ***Who can I talk to if I have a concern about how this person is treating me?***