



Customer Relationship Summary

Dated December 17, 2021

Introduction

Globalink Securities Inc. is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at <http://www.Investor.gov/CRS>, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We offer brokerage services, which include buying and selling equities, mutual funds, exchange traded funds (ETFs), options, and fixed income securities on a **non-discretionary basis**. You may select investments, or we will recommend investments for your account, but the ultimate investment decision as to your investment strategy and the purchase and sale of investments will be yours.

Monitoring of your account is solely your responsibility as **we do not offer account monitoring services**. Account minimums apply to open or maintain an account. These account minimum will vary depending on the account type, as well as the type of investment you are purchasing. Any such minimum requirement will be discussed prior to account opening or investment, as the situation arises. Statements will be delivered to you at a minimum on a quarterly basis via mail or electronically to assist you in monitoring your account.

For more information about our firm: Visit our website at www.GlobalinkUSA.com.

Questions to ask your financial professional:

- ◆ *Given my financial situation, should I choose a brokerage service? Why or why not?*
- ◆ *How will you choose investments to recommend to me?*
- ◆ *What is your relevant experience, including your licenses, education, and other qualifications?*
- ◆ *What do these qualifications mean?*

What fees will I pay?

With our brokerage services, you will pay us a transaction-based fee, generally referred to as a “**commission**”, for each investment made on your behalf. Depending on the investment product you select, these fees can include up-front commissions, or in the case of a bond purchase “mark-ups” or “mark-downs”, as well as fees that are charged on an on-going basis for as long as you hold the investment, known as “trails” or “12b-1 fees”. You may select the investments, and we will recommend investments for your account, but the ultimate decision for your investment strategy and the purchase or sale of investments will be yours. We must act in your best interest and not place our interests ahead of yours when recommending an investment or an investment strategy involving securities. Because we are compensated for transactions, **we have an incentive to encourage you to trade more frequently** and in greater amounts.

You will incur fees for custodial services or administrative services, as well as fees and expenses that are included in the expense ratios of certain of your investments, such as mutual funds, and ETFs.

For additional information, please see www.GlobalinkUSA.com/RegBI or ask for a hard copy.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time.

*****Please make sure you understand what fees and costs you are paying.*****

Questions to ask your financial professional:

- ◆ *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

**What are your legal obligations to me when providing recommendations?
How else does your firm make money and what conflicts of interest do you have?**

When we provide you with a recommendation, we have to act in your best interest and not place our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you.

Examples of Ways We Make Money and Conflicts of Interest:

- ◆ **Front-end Commission:** Products, such as mutual funds, are available in different share classes. The share class purchased will determine how much of a front-end commission we will receive, if any. This creates an inherent conflict of interest to recommend the share class paying the highest amount.
- ◆ **Rollovers:** We will recommend you rollover your employer-sponsored retirement plan into an Individual Retirement Account (IRA), which pays us a commission.

For additional information, please refer to our [Regulation Best Interest Disclosure](#)

Question to ask your financial professional:

- ◆ *How might your conflicts of interest affect me, and how will you address them?*

How Do Your Financial Professionals Make Money?

Our financial professionals will be compensated through a variety of ways, which will include base salaries and commission. The amount of compensation varies by product type and the nature of the transaction e.g. traditional brokerage transactions, annuity, or private placement. At times our financial professionals will receive bonuses based on total revenue produced.

These compensation arrangements will create a conflict of interest, which we will mitigate by:

- ◆ Informing you of the conflict of interest in our Disclosure Brochure
- ◆ Routine supervisory review of transactions

Do you or your financial professionals have legal or disciplinary history?

Yes, we and some of our financial professionals have some form of legal or disciplinary history. If you would like more information about our firm and our financial professionals, visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Questions to ask your financial professional:

- ◆ *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Additional Information

For additional information about our services, please refer to our website GlobalinkUSA.com. If you would like additional, up-to-date information, or a copy of this disclosure, please call (626) 964-5966.

Questions to ask your financial professional:

- ◆ *Who is my primary contact person?*
- ◆ *Is he or she a representative of an investment advisor or a broker-dealer?*
- ◆ *Who can I talk to if I have a concern about how this person is treating me?*