

INCOMING WIRE INSTRUCTIONS

The following instructions should be used when transferring funds into a client's WMS account:

CLIENTS WITHIN THE UNITED STATES

The Bank of New York Mellon
One Wall Street
New York, New York 10286
ABA Number: 021000018
Beneficiary: Wedbush Morgan Securities Inc
Account Number: 8540900001
Details: FBO WMS Account Name & Account Number

CLIENTS OUTSIDE OF THE UNITED STATES

The Bank of New York Mellon
One Wall Street
New York, New York 10286
SWIFT Code: IRVTUS3N
Beneficiary: Wedbush Morgan Securities
Account Number: 8540900001
Details: FBO WMS Account Name & Account Number

***NOTE:** Foreign currency is exchanged into U.S. dollars upon receipt. The same credit WMS receives is given to the client. No charges or exchange fees are charged by WMS. Estimated conversion rates for certain currency may be available by contacting the Cash Management Department. Customers may convert and wire the funds in USD at their bank if they wish to negotiate and secure a better rate.*

OUTGOING WIRE INSTRUCTIONS

To request an outgoing wire:

- Contact the Credit Department by 11:30 A.M. Pacific.
- Unless the wire transfer request is to the client's own bank account, a Letter of Authorization (LOA) must be approved by the Credit Department. If an LOA is already on file, client can send to future wire instructions.
- The LOA should include the WMS account number, dollar amount, the bank name, city and state in which the bank is located, ABA number of the primary bank, the name and account number of the beneficiary bank, client's name and banking account number.
- Third Party distribution policies outlined in the Policies and Procedures Section G Policy 13 apply.
- All fees will be charged against the client's account unless noted on request form and approved by Cash Management Department.

-\$20.00 FEE- WIRES SENT TO FINANCIAL INSTITUTIONS WITHIN THE U.S.

-\$25.00 FEE- WIRES SENT TO FINANCIAL INSTITUTIONS OUTSIDE THE U.S.