

INCOMING WIRE INSTRUCTIONS

The following instructions should be used when transferring funds into a client's WMS account:

DOMESTIC

Bank of New York
New York, New York
ABA # 021000018
Account Number 8540900001
FTAO Wedbush Morgan Securities
Account #: _____
Account Name: _____

CLIENTS OUTSIDE OF THE UNITED STATES

Bank of New York
New York, New York
SWIFT Code: IRVTUS3N
Credit Wedbush Morgan Securities
Account # 8540900001
FTAO Client Name and account number

Foreign currency is exchanged into U.S. dollars upon receipt. The same credit WMS receives is given to the client. No charges are levied by WMS.

OUTGOING WIRE INSTRUCTIONS

To request an outgoing wire:

- Contact the Credit Department by 12:00 noon.
- Requests by a client for wire transfer funds to their own bank or a Letter of Authorization (LOA) must confirm other account. If an LOA is already on file, client can send to future wire instructions.
- The LOA should include the WMS account number, dollar amount, the bank name, city and state in which the bank is located, ABA number of the primary bank, the name and account number of the beneficiary bank, client's name and banking account number.
- Third Party distribution policies outlined in the Policies and Procedures Section G Policy 13 apply.
- All fees applicable will be charged against the client's account.

ALL OUTGOING WIRES ARE ASSESSED A NOMINAL FEE OF \$20.00